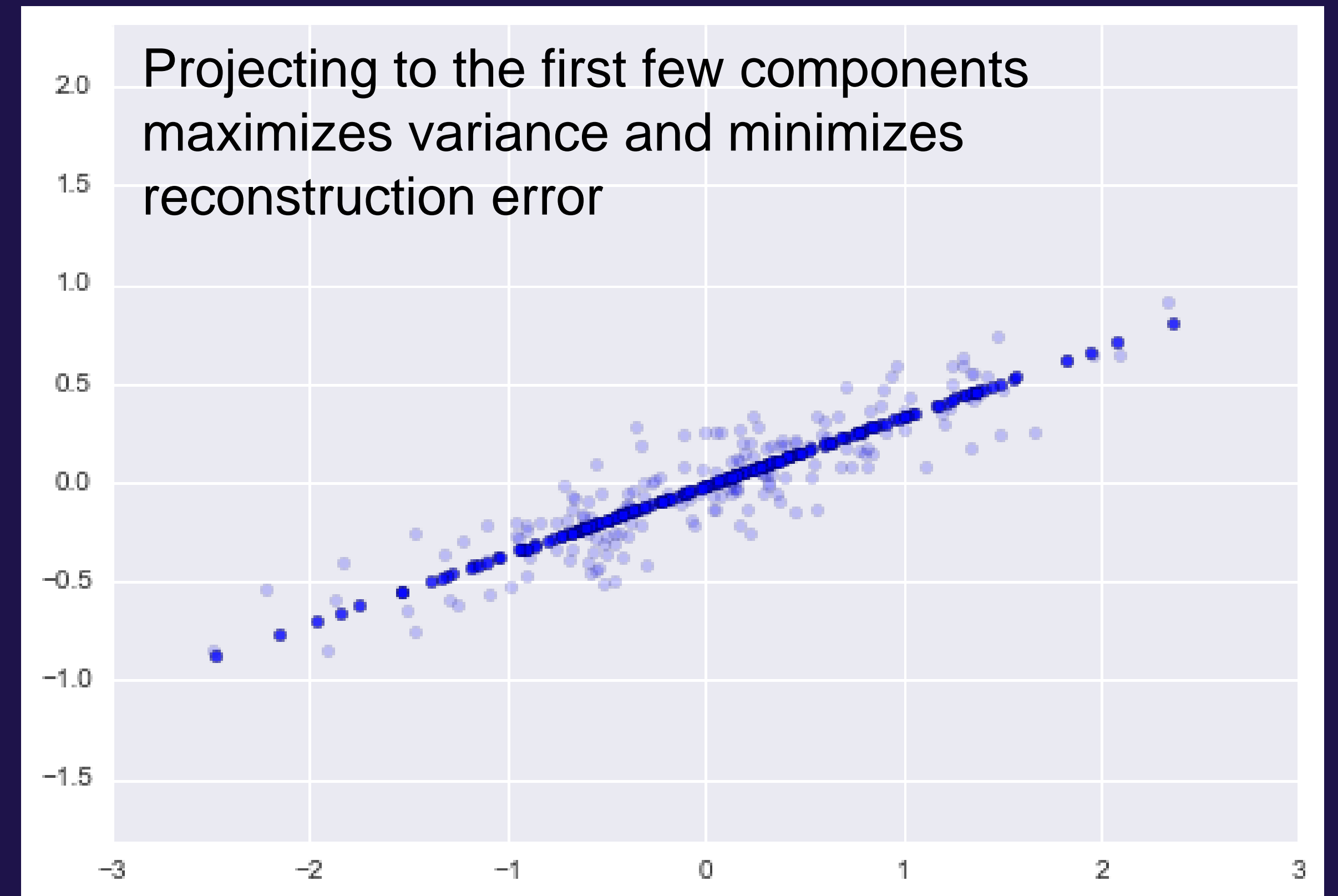
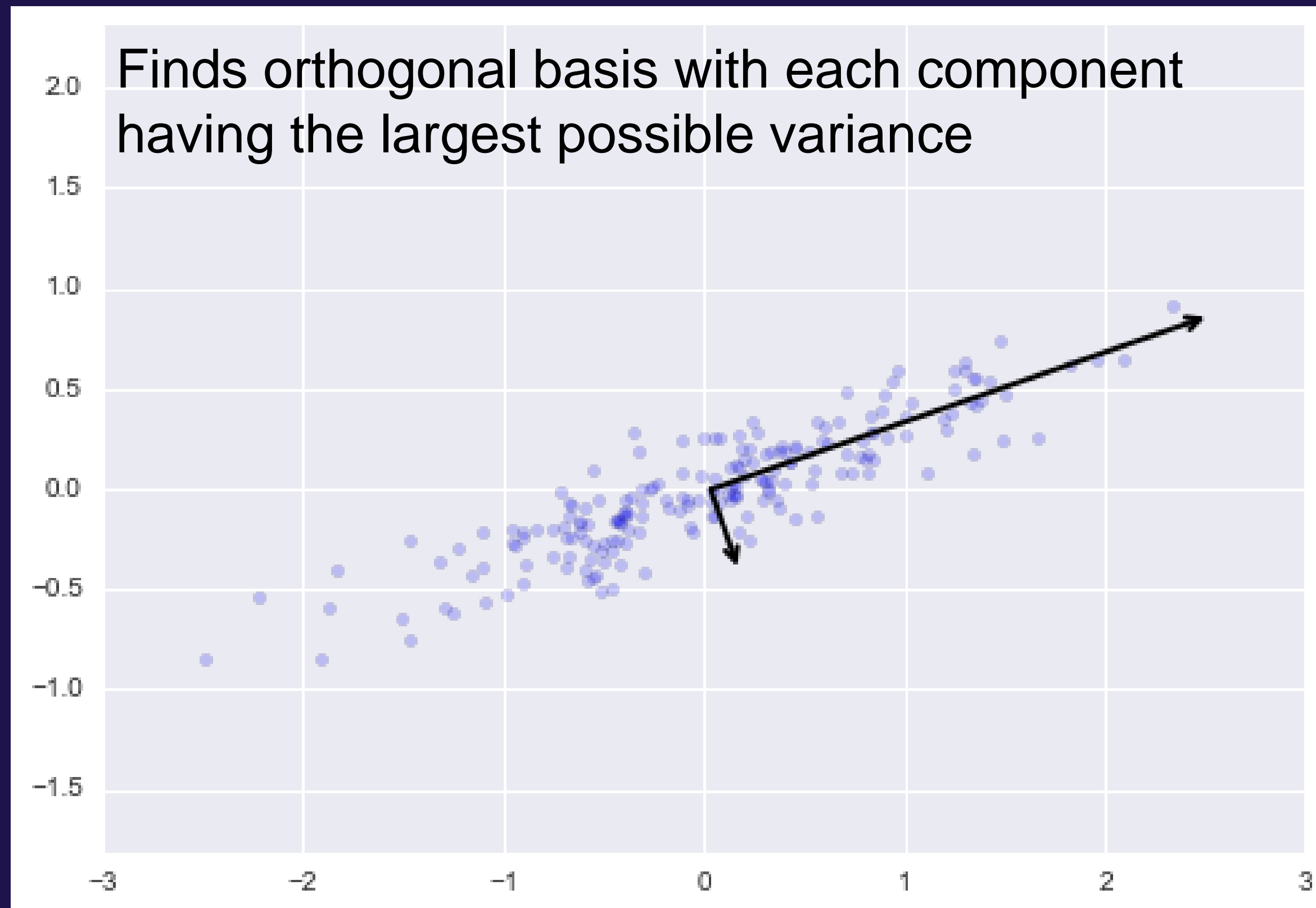




# Dimensionality Reduction of SDSS Spectra with Autoencoders

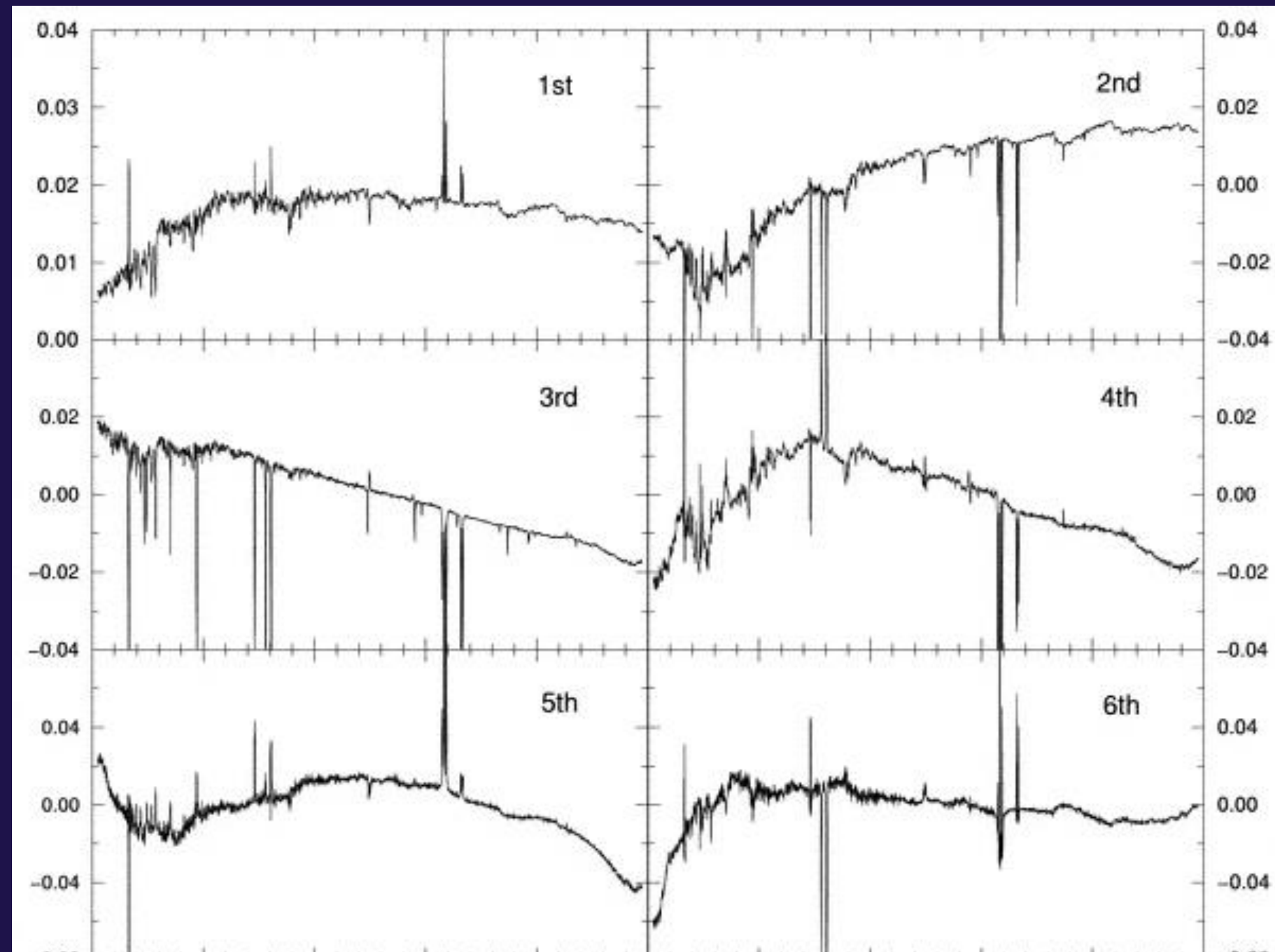
Stephen Portillo, DIRAC Institute, UW  
with Andy Connolly  
Astroinformatics 2019, 25 June 2019

# Principal Component Analysis



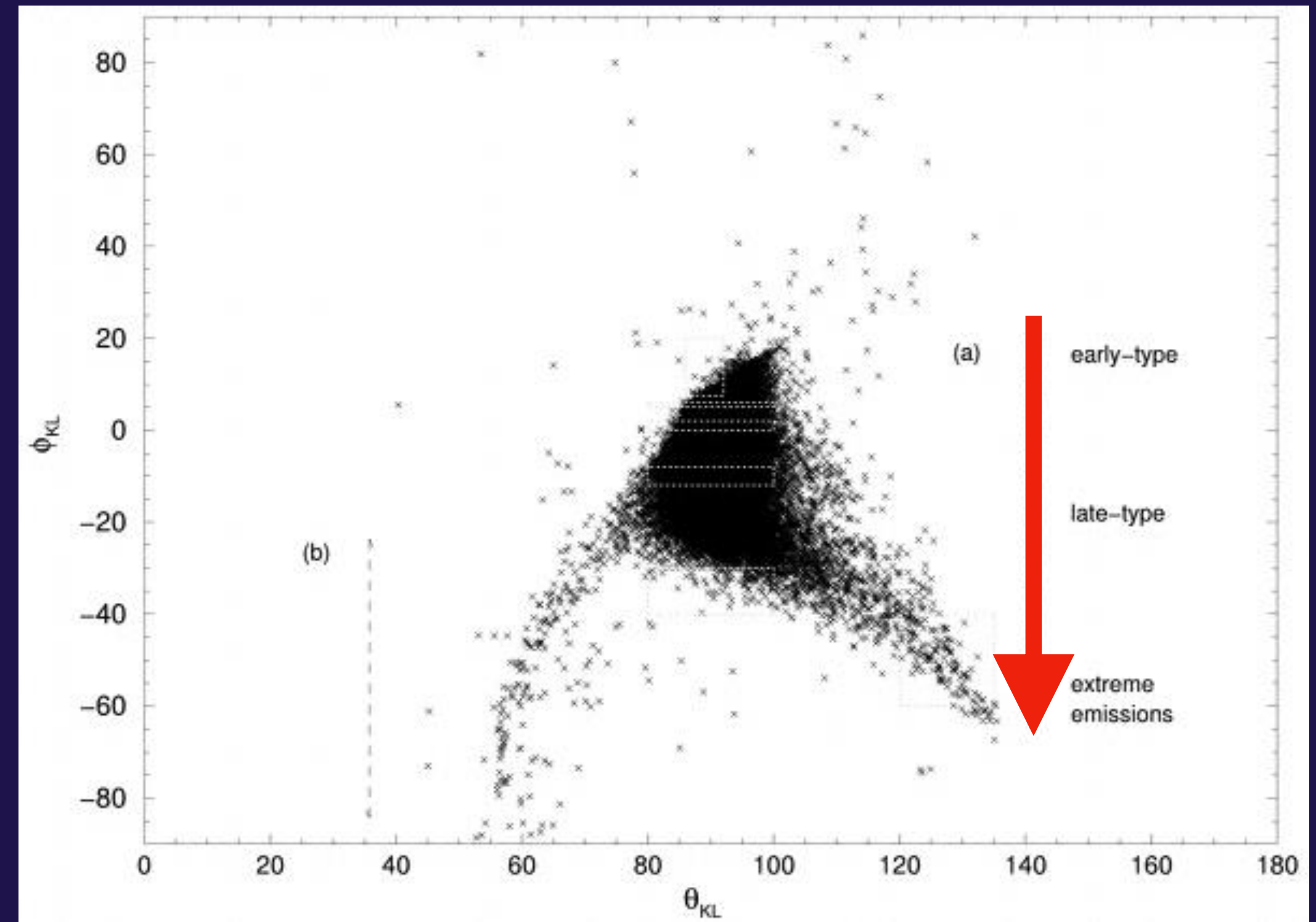
# Principal Component Analysis

Eigenspectra can be interpreted



Yip et al. (2004)

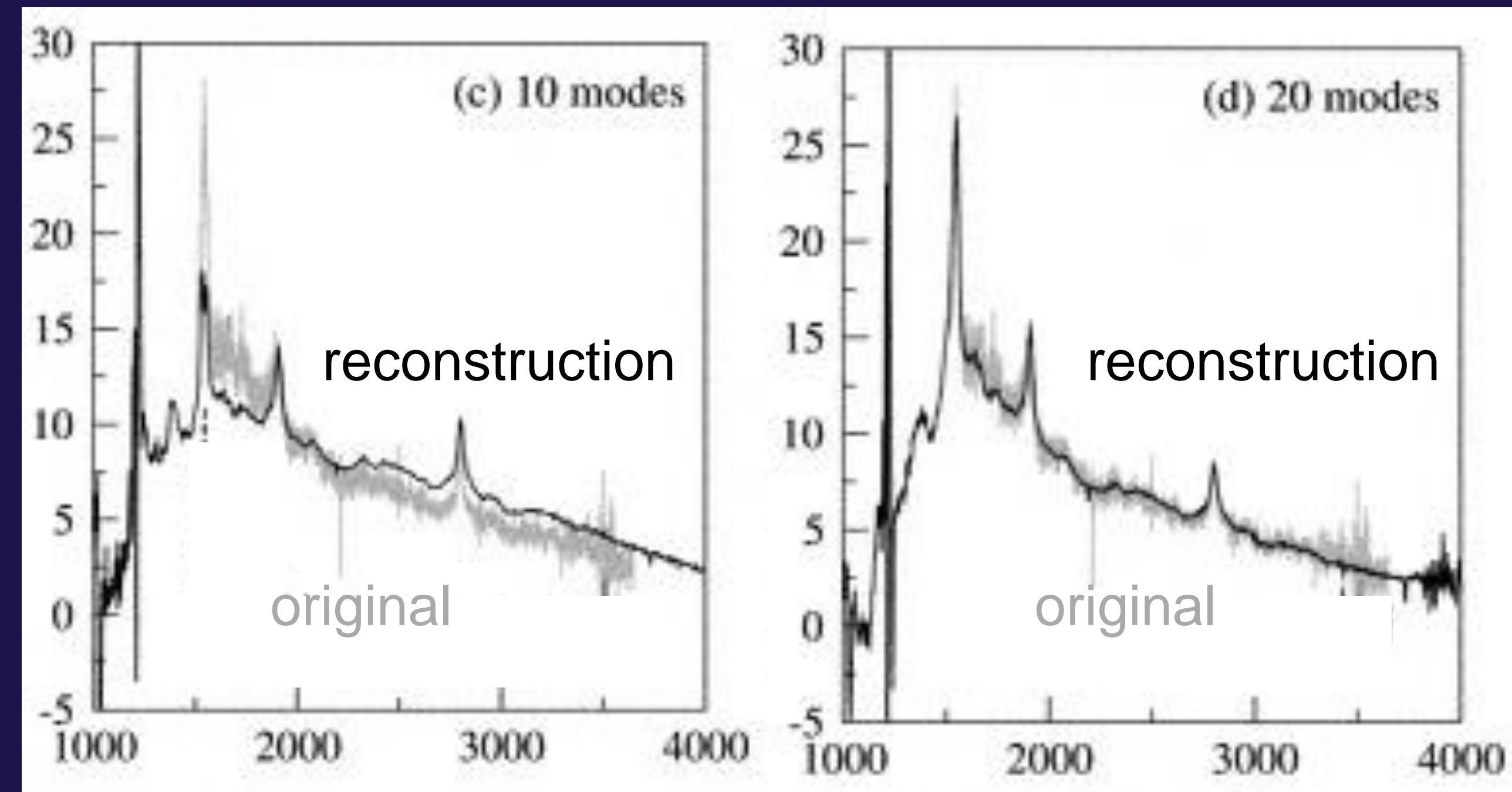
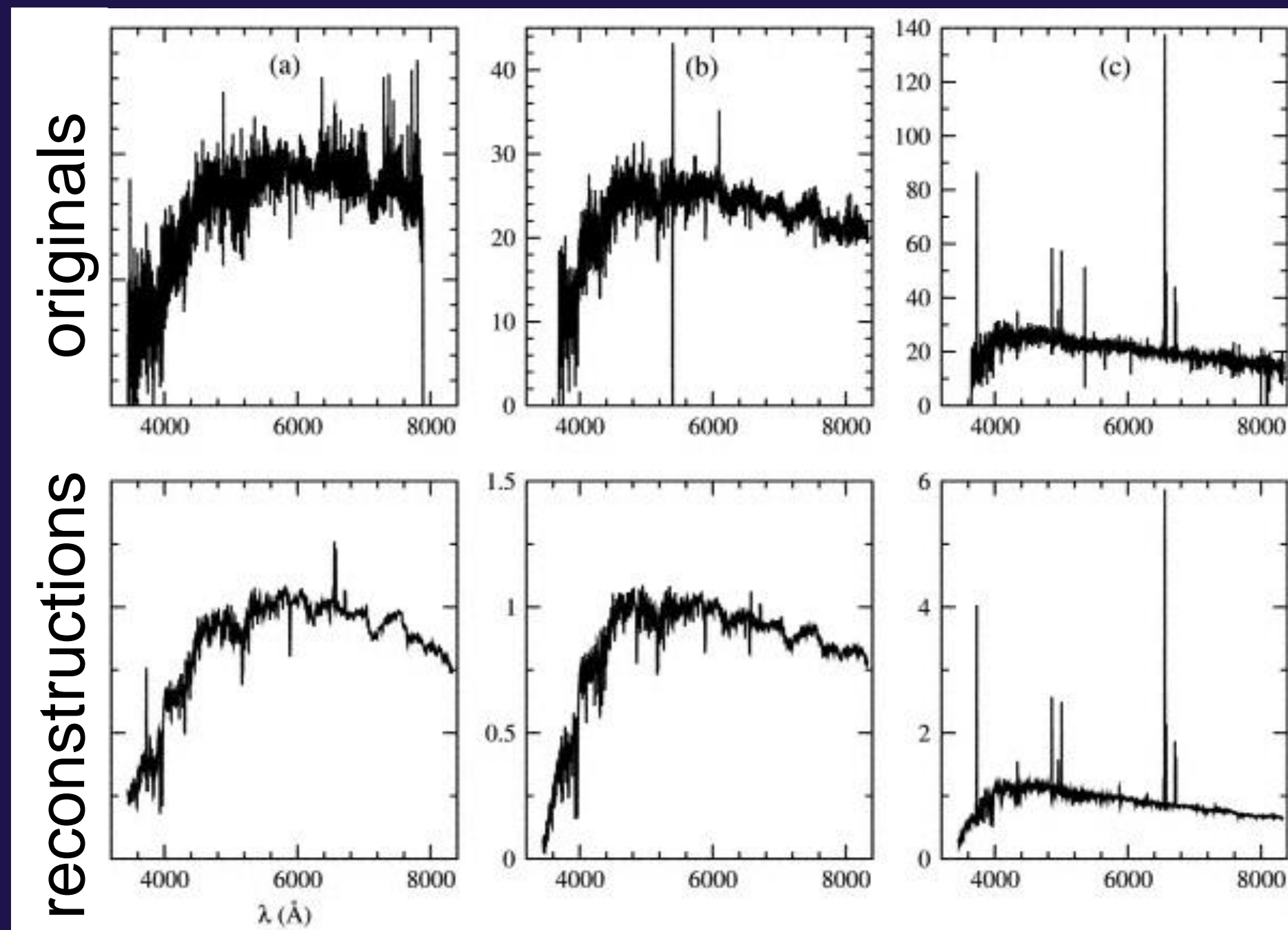
First 3 coefficients can be used to separate classes of galaxies



# Principal Component Analysis

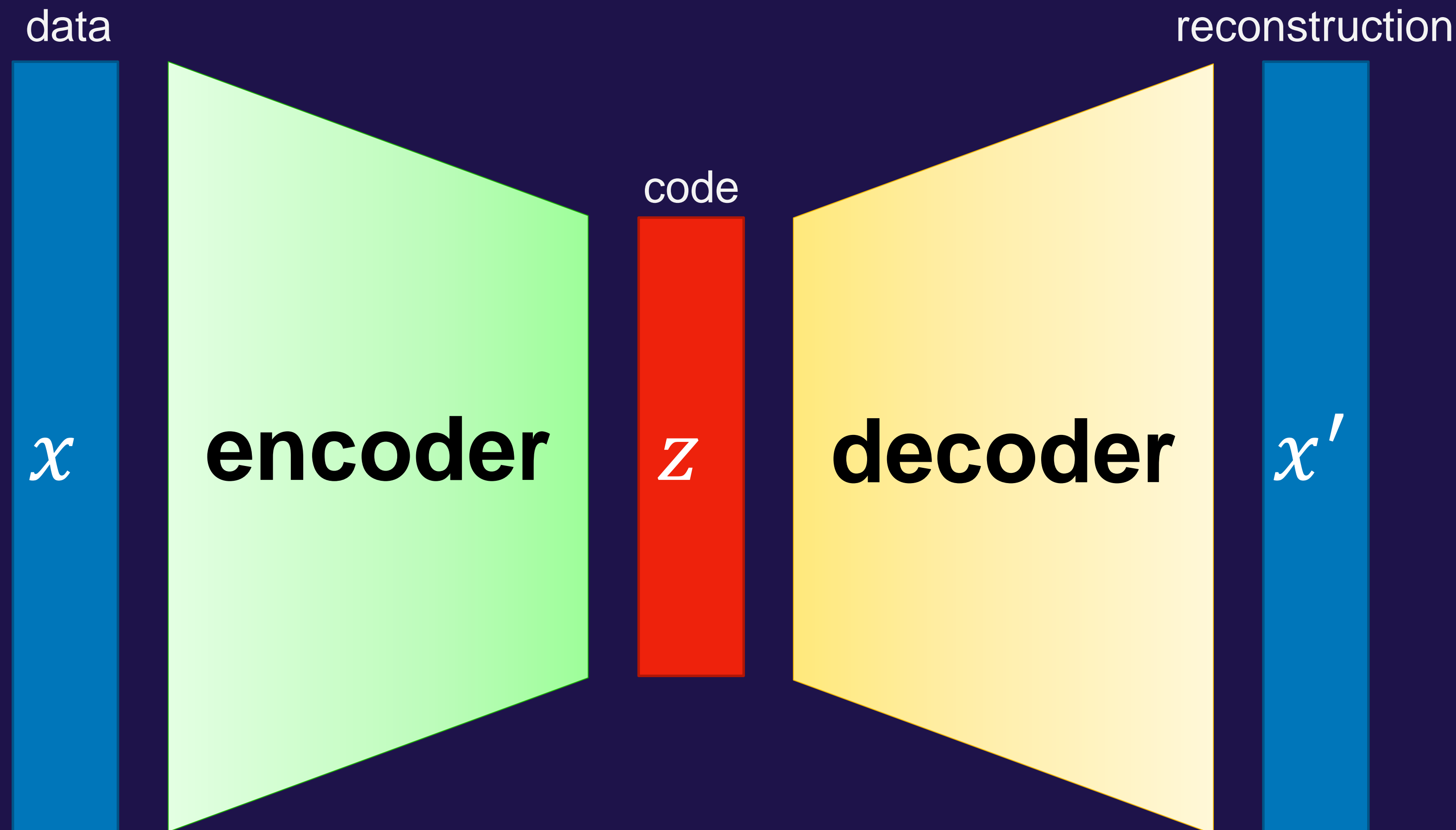
Projection can de-noise spectra

Non-linear features (eg. broad lines) can take many components to reconstruct



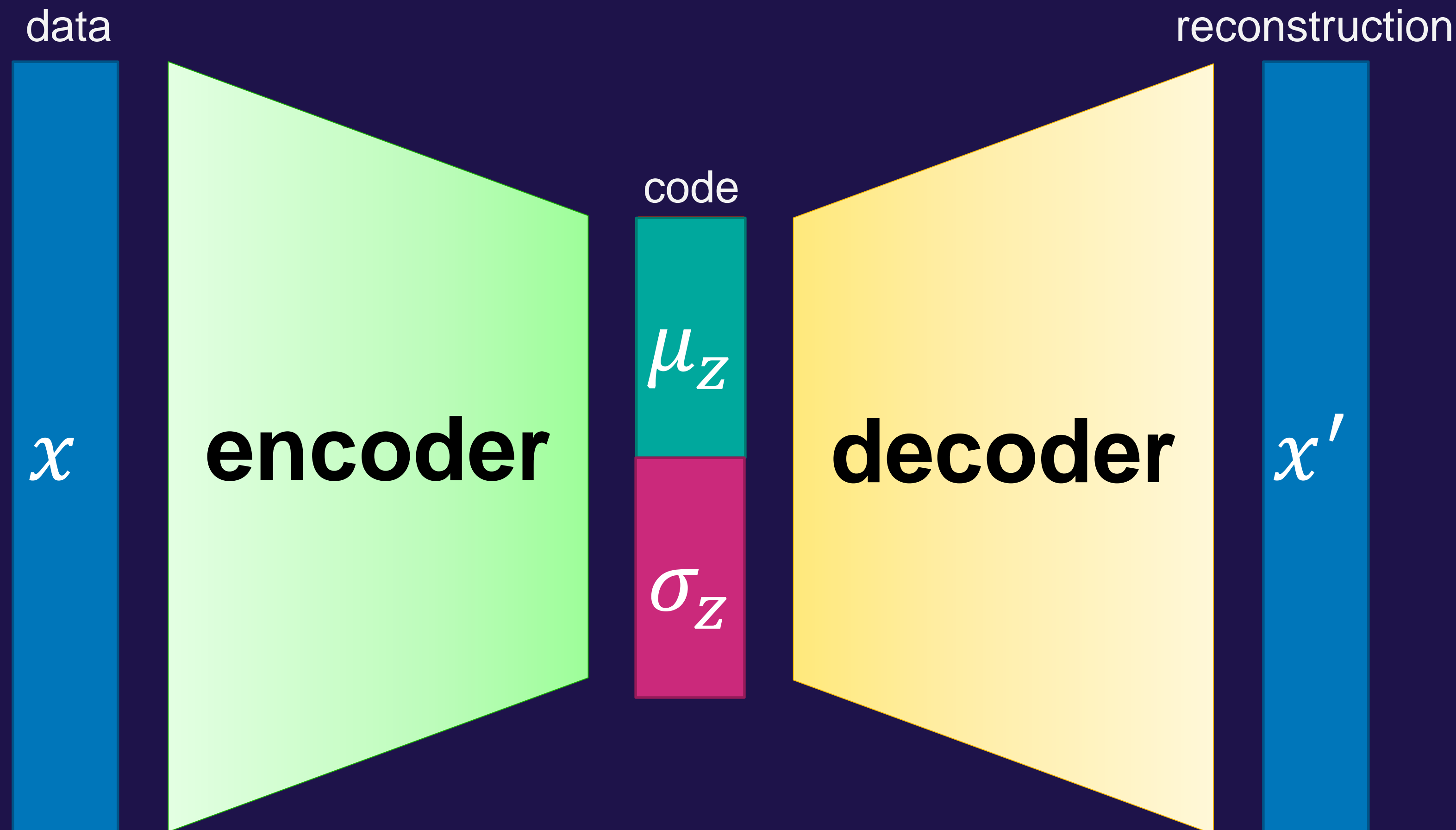
# Autoencoders

- Trained to minimize reconstruction loss  $\mathcal{L}(x, x')$
- Code  $z$  is a compression that is tailored to the data
- A non-linear generalization of PCA



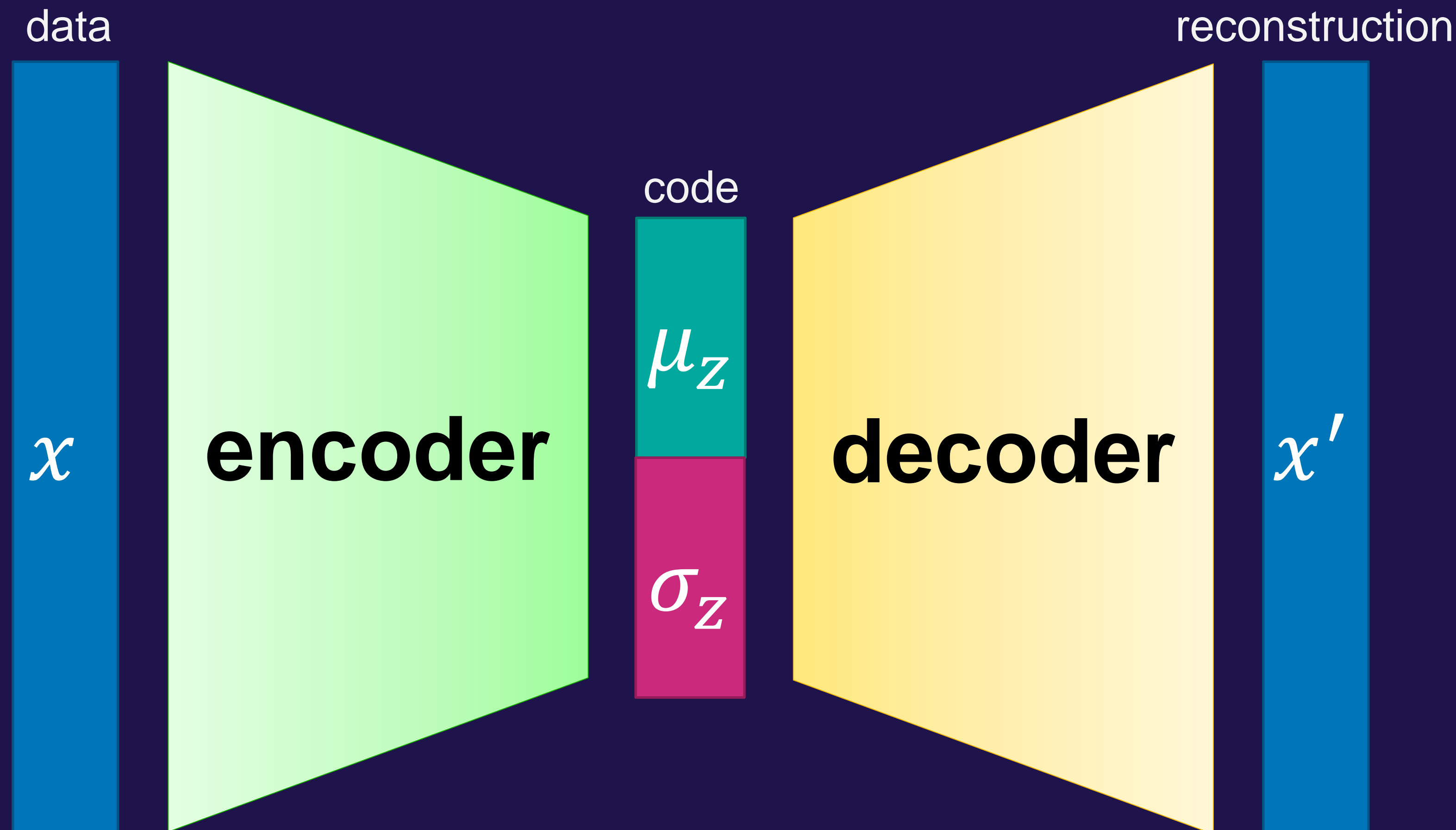
# Variational Autoencoders

- Decoder is a generative model with parameters  $z$
- Reconstruction loss is a likelihood
 
$$P(x|z) = \mathcal{L}(x, x')$$
- Placing a prior on  $z$  implies a posterior  $P(z|x)$
- Encoder approximates posterior with  $\mathcal{N}(\mu_z, \sigma_z)$  - variational inference!



# Application to SDSS

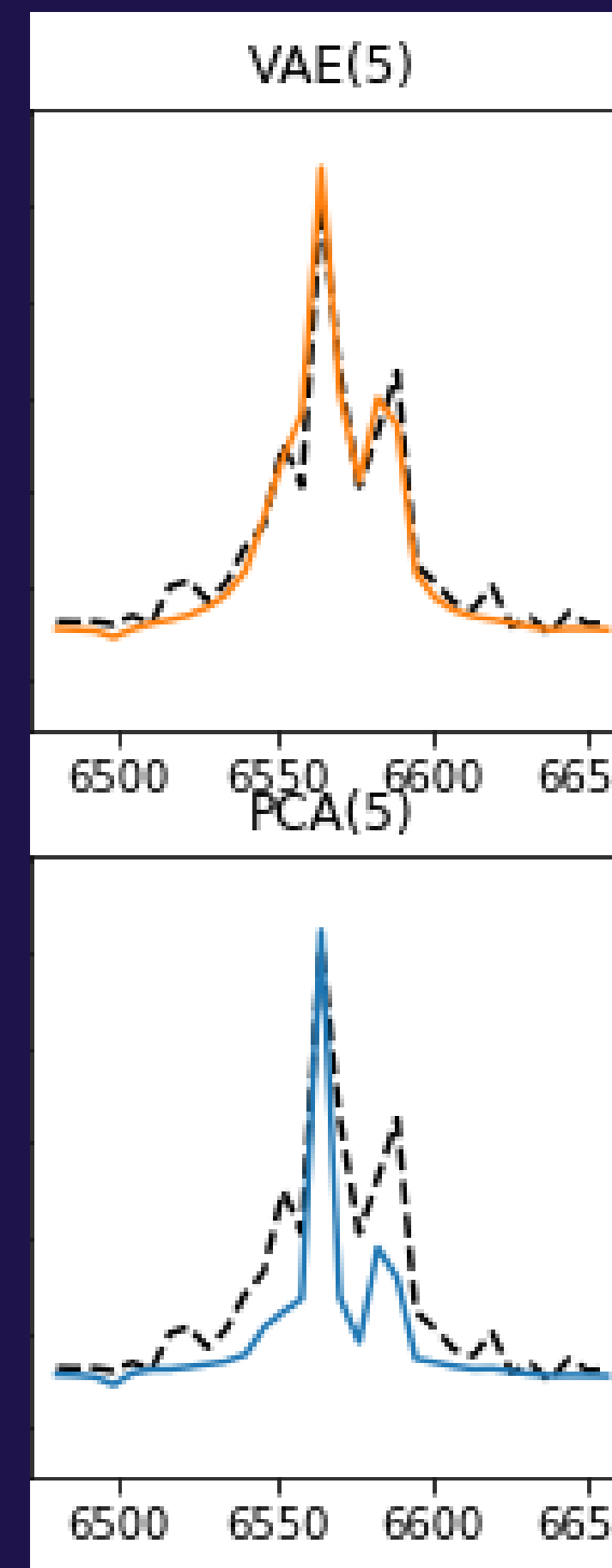
- Spectra de-redshifted to rest frame, rebinned to 1K
- Encoder and decoder are two layers (167, 61) with nodes
- Latent space of 10 parameters
- Trained on 23K spectra



# Reconstruction

VAE with 10 components outperforms PCA with 10 components

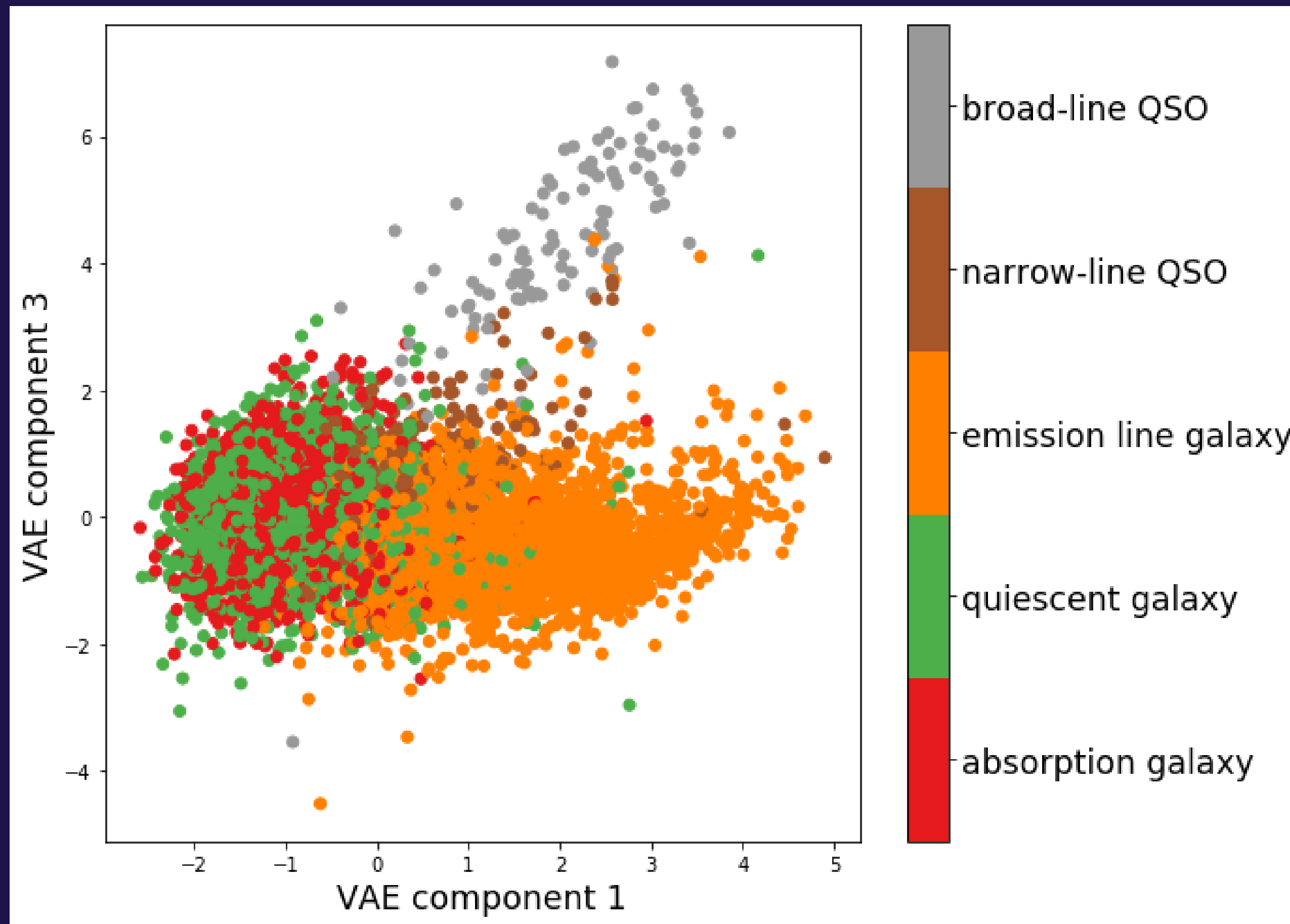
Class	MSE Improvement
All	2.6%
Absorption galaxy	3.3%
Quiescent galaxy	1.6%
Emission line galaxy	0.8%
Narrow-line QSO	1.5%
Broad-line QSO	23.8%



VAE is able to reconstruct broad spectral lines with fewer components



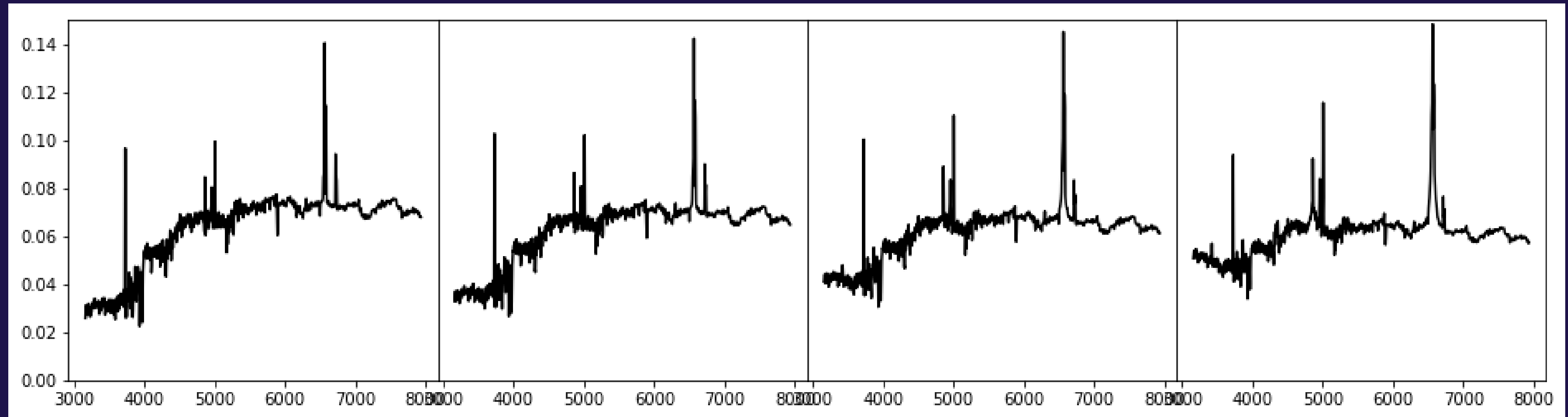
# Separation of Classes



First 3 VAE components  
separate classes well

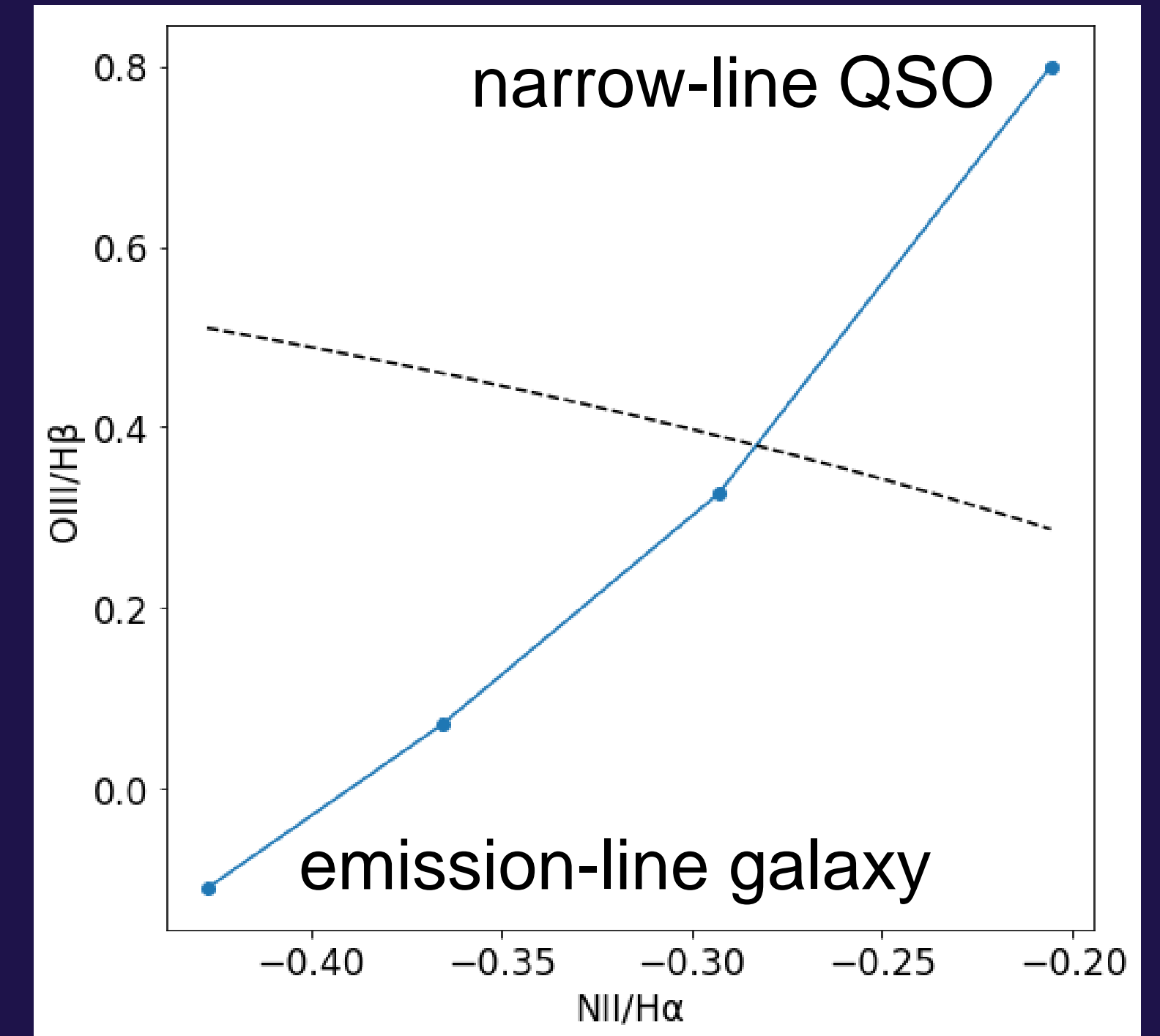
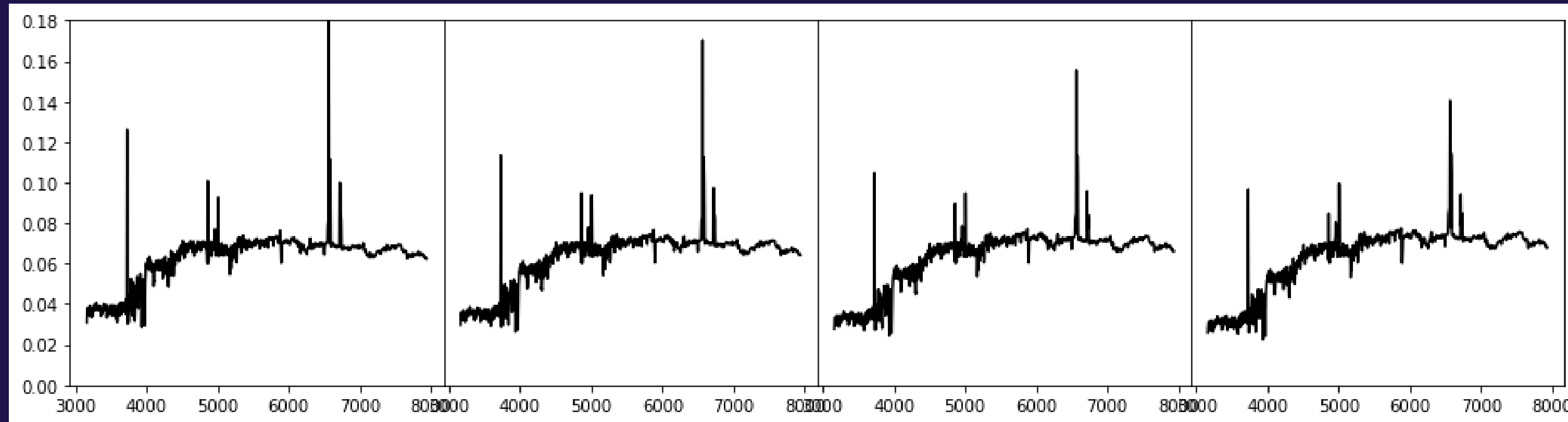
# Narrow-line → Broad-line QSO

Lines broaden, blue continuum rises, line ratios change



# Emission Line Galaxy $\rightarrow$ Narrow-line QSO

$OIII/H\beta$  and  $NII/H\alpha$  ratios change, in agreement with Kewley et al. (2001)



# Conclusion

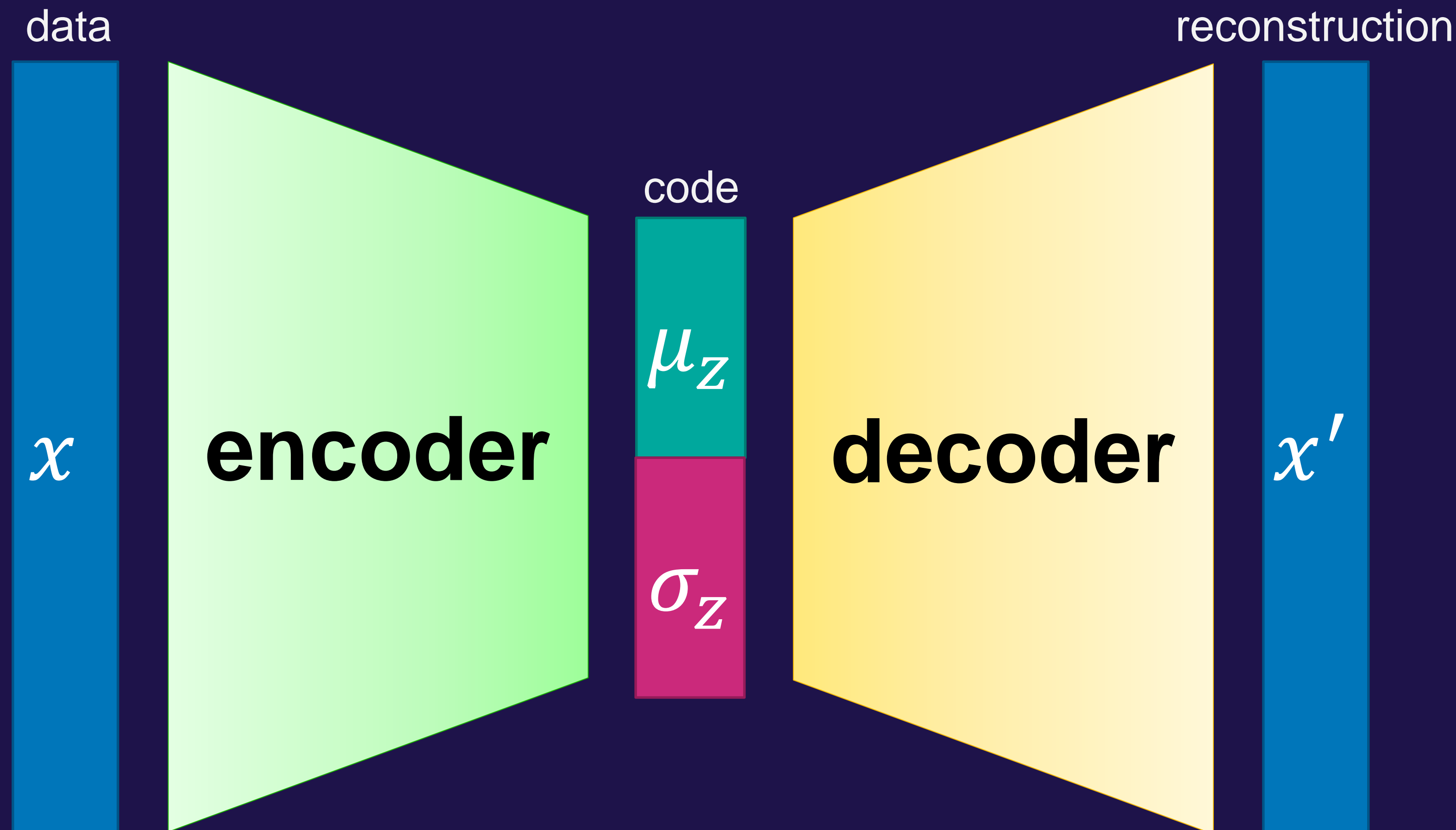
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- Autoencoders are an unsupervised method to learn compressions of data sets, and can be thought of as a non-linear generalization of PCA
- Autoencoders have better reconstruction performance than PCA, especially for non-linear features like broad spectral lines
- The autoencoder latent space separates galaxy classes
- Traversing the latent space generates series of synthetic spectra that change in physically plausible ways

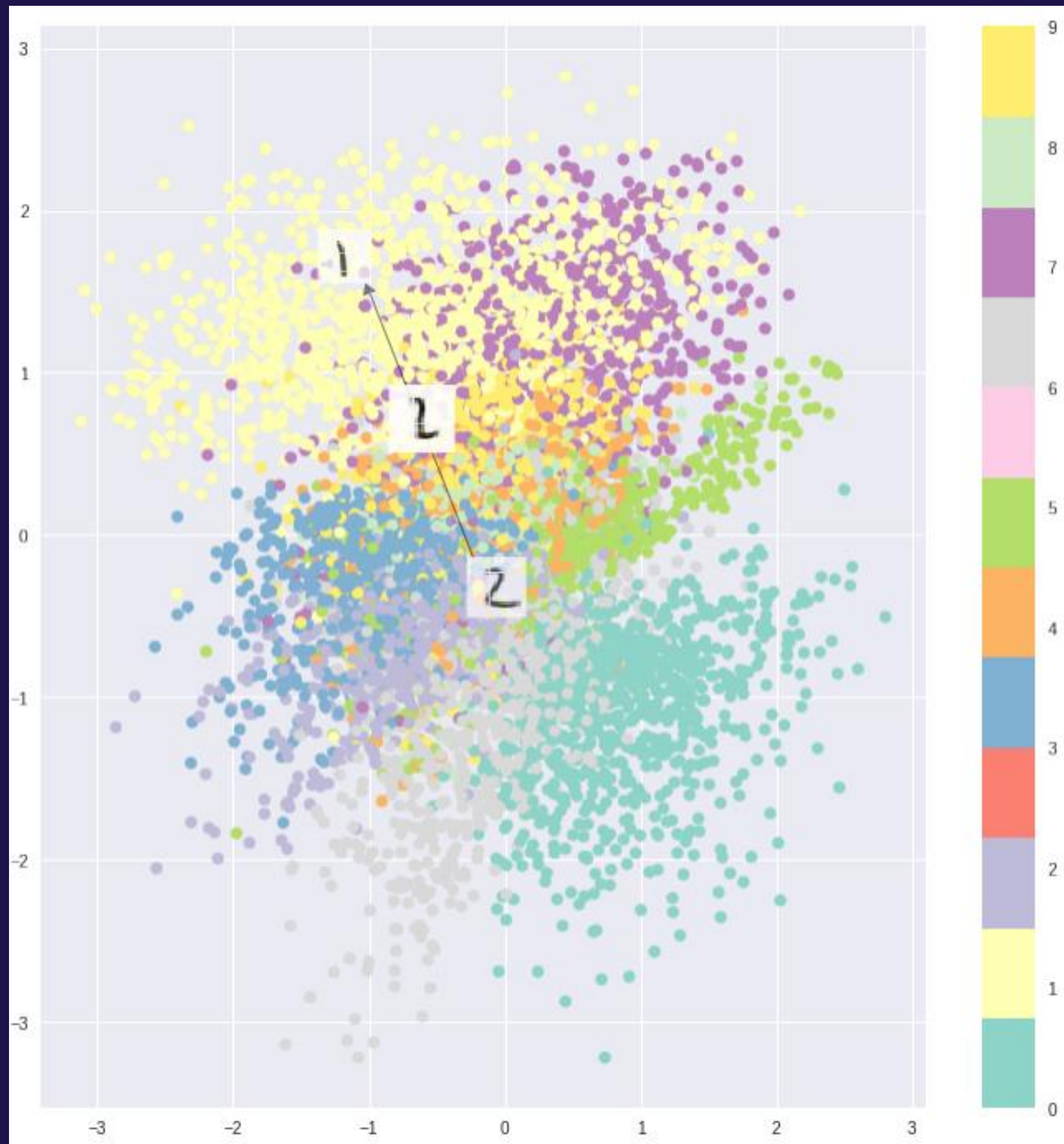
# Variational Autoencoders

- Results in an additional loss term that depends on encoder and prior  $\pi$   

$$KL(\mathcal{N}(\mu_z | \sigma_z) || \pi(z))$$
- InfoVAE changes loss function to prefer mutual information between data and code



# Variational Autoencoders

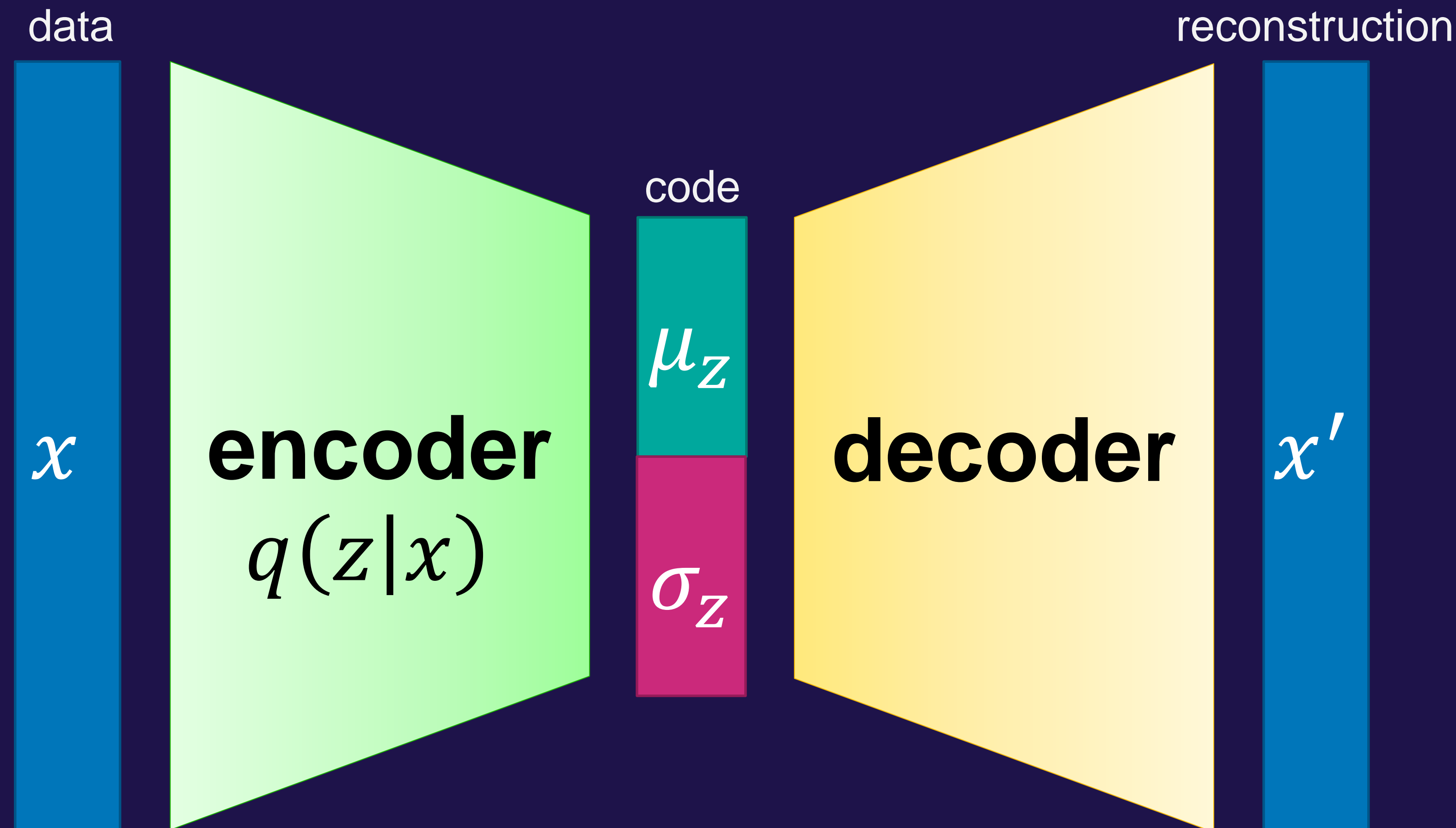


Penalizing KL divergence between encoder and prior encourages encodings to stay together

# InfoVAE Loss

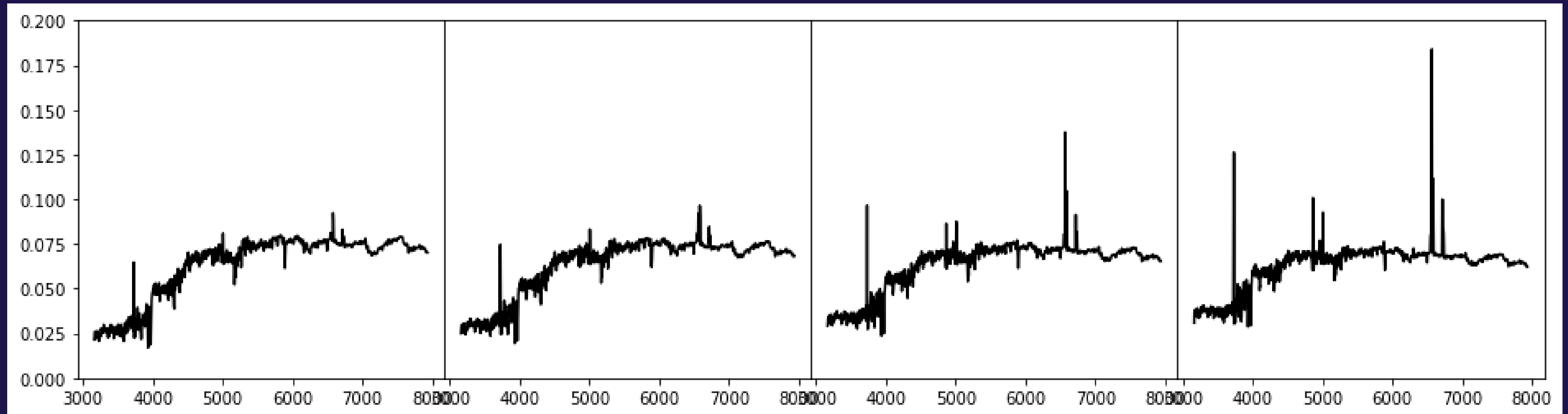
- InfoVAE changes loss function to prefer mutual information between data and code

$$MMD(q(z)||\pi(z))$$



# Absorption $\rightarrow$ Emission Line Galaxy

Emission lines and blue continuum rise





# Disentangled Variational Autoencoder ( $\beta$ -VAE)

- Upweight the KL divergence contribution to the loss function by multiplying it by  $\beta > 1$
- Encourages the encoder to only differ from the prior when it really needs to – using fewer dimensions of latent space

